



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/061,438	03/21/2002	Ralph P. McCroskey	070788/0282091

SUZANNE BIGGS
Pillsbury Winthrop LLP
11975 El Camino Real
San Diego, CA 92130

CONFIRMATION NO. 8113

FORMALITIES LETTER



OC000000007882780

Date Mailed: 03/21/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/02/2002 SMINASS1 00000007 10061438

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:203	225.00 OP
03 FC:202	42.00 OP
04 FC:204	140.00 OP
05 FC:205	65.00 OP

Filing Date Granted

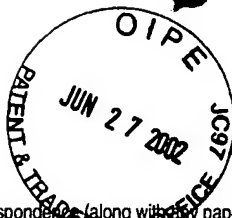
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$407.
 - \$225 for 25 total claims over 20.
 - \$42 for 1 independent claims over 3.
 - \$140 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 842.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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COPY OF PAPERS
ORIGINALLY FILED

Page 1 of 3

Certificate of Mailing Under 37 C.F.R. §1.8

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being mailed via "First Class Mail" service of the United States Postal Services on the date shown below in an envelope addressed to the Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Washington DC 20231. Attention: Box Missing Parts.

Dated: June 21, 2002

By:

Patricia Muñoz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)

For Design, Provisional, or Utility Applications

PATENT
APPLICATION

COMPLETION Under
Rule 53(f)

In re PATENT APPLICATION of

Inventor(s): Ralph P. McCroskey, et al.

Attn: Application Division

Appln. No.: 10 | 061,438
Series Code ↑ | Serial No. ↑

Atty.Dkt. 070788 | 0282091 |
C# | M# | Client Ref

Filed: January 31, 2002

Title: METHODS AND DEVICES FOR QUANTITATION OF GLYCATED PROTEIN

Hon. Assistant Commissioner for Patents
Washington, DC 20231

Date: June 21, 2002

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declarations attached. ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
 - a. ☐ Abstract
 - b. # pages of Specification (only spec. & claims)
 - c. ☐ Drawing(s) No of Sheets
 - ☐ Fig(s).
4. ☐ Letter filing formal drawing attached.

5. ☒ Attached are assignments and a cover sheet. Please return the recorded assignments to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/265,229	31 January 2001	(2) 10/062,281	January 30, 2002
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in _____

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

9. _____ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / filed on _____

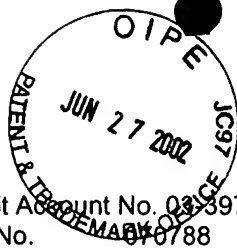
10. Small Entity Status \blacktriangleright ☐ is Not claimed ☒ is claimed (file PAT-256 if this is the first claim of Small Entity Status)

11. ☒ Attached: Petition for Extension of Time; Notice to File Missing Parts, Check for \$937.00 and Return Postcard

12. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
13. Basic Filing Fee Design Application				\$330/\$165		106/26
Not Design Application				\$740/\$370	+370	101/201
14. Total Effective Claims	45	minus 20 =	25	X \$18/\$9	+225	103/203
15. Independent Claims	4	minus 3 =	1	X \$84/\$42	+42	102/202
16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line <u>blank</u> if this is a <u>reissue</u> application)				\$280/\$140	+140	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+65	105/205
18. FILING FEE ENCLOSED =				\$842		
19. Original due date: June 21, 2002						
20. Petition is hereby made to extend the <u>original due date</u> to				(1 mo)	\$110/\$55 =	+55
cover the date this response is filed for which the requisite fee				(2mos)	\$400/\$200 =	115/215
is attached				(3mos)	\$920/\$460 =	116/216
				(4mos)	\$1,440/\$720 =	117/217
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	118/218
22. If "assignment" box 5 is X'd, add recording fee.				\$40	+40	139
23. Petition Fee for				\$130	+0	581
24. TOTAL FEE ENCLOSED =				\$937		



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Our Deposit Account No. 033975
Our Order No. 070788

0282091

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. **This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.**



27500

PATENT TRADEMARK OFFICE

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NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments